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PTO/SB/21 (08-00)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/921,420
		Filing Date	August 2, 2001
		First Named Inventor	OSTROVER, Lewis S.
		Group Art Unit	2615
		Examiner Name	TO BE ASSIGNED
Total Number of Pages in This Submission	42	Attorney Docket Number	3053-041

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ENCLOSURES (check all that apply)

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<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal, Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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Remarks		



22440
PATENT TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WEISZ, Tiberia Reg. No. 29,876
Signature	
Date	December 31, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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Attorney Docket No.: 3053-041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First-Named Inventor : OSTROVER, Lewis S.
Serial No. : 09/921,420
Filing Date : August 2, 2001
Title : APPARATUS FOR GENERATING CONTENT CODES
FOR AUDIOVISUAL PROGRAMS BY MULTIPLE
VIEWERS
Examiner : To Be Assigned
Group Art Unit : 2615

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Washington, D.C. 20231

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HUNTER, Belinda J

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.56, 1.97 AND 1.98

SIR :

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97, and 1.98, applicant
submits herewith an *Information Disclosure Statement* along with (3) cited references listed on
Form PTO-1449.

References AA and AB are cited in the *International Search Report* dated December
12, 2002 issued by the Patent Cooperation Treaty organization in counterpart International
Patent Application No. PCT/US02/23709. Both references are each indicated in this report as
applicable to category A, meaning that these references are found to define the general state of

the art thus having no particular relevance compared to any of the claims of the subject invention. A true copy of the report from the files of the undersigned attorney of record is also enclosed herewith in accordance with MPEP §2001.06(a) since the afore-mentioned international patent application claims priority to the present US application, and is indicated as **Reference BA** on the enclosed *List of Cited References*.

Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3) and the disclosures enclosed herewith have been cited in a foreign counterpart application not three months prior to the filing date of this paper in accordance with 37 CFR §1.97(e), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: December 31, 2002
New York, New York

Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC
Attorneys for Applicant
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New York, New York 10016-0601
Telephone: 212/684-3900
Telefax: 212/684-3999

By:


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Reg. No. 29,876

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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/921,420
				Filing Date	August 2, 2001
				First Named Inventor	OSTROVER, Lewis S
				Group Art Unit	2615
				Examiner Name	To Be Assigned
Sheet	1	of	2	Attorney Docket Number	3053-041

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	US- 5.172.111	12.15.1992	Olivo Jr	See ISR PCT/US02/23709
	AB	US- 5.589.945	12.31.1996	Abecassis	See ISR PCT/US02/23709
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
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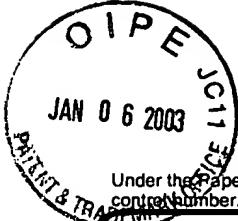
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FOREIGN PATENT DOCUMENTS

Examiner Signature _____ Date Considered _____

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1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English translation is attached.

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/921,420
Filing Date	August 2, 2001
First Named Inventor	OSTROVER, Lewis S
Group Art Unit	2615
Examiner Name	To Be Assigned
Attorney Docket Number	3053-041

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	BA	International Search Report dated December 12, 2002; PCT/US02/23709 filed July 25, 2002; entitled APPARATUS FOR GENERATING CONTENT CODES FOR AUDIOVISUAL PROGRAMS BY MULTIPLE VIEWERS: inventor. OSTROVER..Lewis.S	
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